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Peptides as cancer vaccines

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Cancer vaccines based on synthetic peptides are a safe, well-tolerated immunotherapy able to specifically stimulate tumor-reactive T cells. However, their clinical efficacy does not approach that achieved with other immunotherapies such as immune checkpoint blockade. Nevertheless, major advances have been made in selecting tumor antigens to target, identifying epitopes binding to classical and non-classical HLA molecules, and incorporating these into optimal sized peptides for formulation into a vaccine. Limited potency of currently used adjuvants and the immunosuppressive tumor microenvironment are now understood to be major impediments to vaccine efficacy that need to be overcome. Rationally designed combination therapies are now being tested and should ultimately enable peptide vaccination to be added to immuno-oncology treatment options.

Addresses

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Introduction

Therapeutic cancer vaccines based on peptides have been envisaged and developed for almost 40 years and yet the approach remains in a status of ‘potential’ interest for cancer therapy rather than one with unequivocal clinical benefit. Notwithstanding this stark appraisal of the current situation and the absence of FDA-approval for peptide cancer vaccines, there have been major advances in the field. Peptide vaccines are able to elicit an immune response against a tumor [1,2], and hundreds of clinical trials [3*] are providing a wealth of information that is driving the field forward. A realistic roadmap for clinical

development will take into account the lessons learned from suboptimal vaccination protocols, the resistance of tumor cells and the hostility of the tumor microenvironment, and the opportunities of combinations with other forms of immunotherapy such as immune checkpoint blockade (ICB).

Cancer vaccines targeting defined antigens aim to induce or expand cancer-specific T cells and rely on DNA, RNA, proteins or peptides. The latter offer the most direct way of targeting a specific epitope, the portion of the antigen that is recognized by the T-cell receptor in association with human leukocyte antigen (HLA) molecules, thus stimulating T cells with defined tumor specificity. This precision targeting contrasts with the broad immunity (including autoimmune responses) induced by immune checkpoint blocking antibodies and contributes to the excellent safety and tolerability profile of peptide vaccines. Moreover, synthesis of clinical grade peptides of virtually any specificity is achieved more rapidly and cost-effectively than a human or humanized therapeutic antibody. Nevertheless, these advantages are offset by the fact that a given peptide epitope will efficiently bind to only one or a few HLA alleles, thus limiting a particular peptide vaccine formulation to a subset of cancer patients. In many clinical trials using peptide vaccines in Europe and the USA, HLA-A2 binding peptides are used and inclusion criteria require expression of this allele, a condition satisfied by around one third of patients. Choice of the peptide sequence is the first essential requirement of a peptide vaccine, but this is not sufficient to elicit an effective immune response. Peptide length or other modifications, administration regimen, adjuvants and combinations with other therapies are all key in determining final clinical efficacy of therapeutic peptide vaccines.

Antigens to target

Many therapeutic vaccines have targeted non-mutated tumor-associated antigens (TAA), which are shared between healthy and tumor cells, but are overexpressed by cancer cells. The advantage of targeting TAA is their expression by cancers from many individuals. However, since these TAA are self-proteins, the repertoire of high avidity T cells with corresponding specificity can be restricted due to immunological tolerance. Whether this significantly impacts clinical vaccination has been difficult to directly assess, because immunomonitoring is often relatively insensitive and never exhaustive. More recent advances may address this issue more adequately, although with the limitations of clinical sampling in the

peripheral blood rather than at the tumor site [4,5]. Results of phase III trials of such TAA vaccines have been disappointing in the case of pancreatic cancer, non-small-cell lung cancer and renal cell carcinoma [6–8]. Nevertheless, the approach continues in other indications, including bladder cancer, prostate cancer, and glioma [9–11]. Although data are only reported for pilot studies and phase I/II trials to date, the results are promising as they show peptide-specific CD8 T cell-responses in several patients, which was correlated with longer survival.

Targeting epitopes expressed only in cancer cells and absent in healthy tissue, the so-called tumor-specific antigens (TSA), can obviate the limitations of a partially tolerant T-cell repertoire. These antigens can originate from viruses associated with certain cancers (e.g. HPV and HBV) or from mutated proteins, termed neoantigens. In the former category, several phase I and II clinical trials targeting HPV are underway or have been completed (as recently reviewed [12]). Although peptide vaccination alone may be insufficient for tumor regression, encouraging results from a phase II trial in patients with incurable HPV-16 related malignancies point to the interest of long-peptide vaccination combined with ICB [13]. However, human cancers with a known viral etiology are the exception, and most TSA derive from mutated epitopes. These neoantigens can arise from point mutations, but other genetic rearrangements such as insertions and deletions can also be the underlying cause [14]. Some of these may be common to multiple tumors, such as the neoepitope expressed by many glioblastomas, EGFRvIII, as a result of a truncation in the wild-type EGFR. However, a phase III clinical trial targeting this epitope with rindopepimut vaccine in addition to chemotherapy did not improve survival over chemotherapy alone [15]. This study assessed humoral responses but did not address the role of vaccine-induced T cells. Since the best described mechanism of action of peptide vaccines for cancer is induction of tumor-specific T cells, it is difficult to judge whether failure of this trial was a result of an absence of such a cellular response.

An additional problem of targeting only one epitope, as performed in the previous study, is the heterogeneous antigen expression and the outgrowth of antigen-negative tumor cells. Multi-peptide vaccines are one solution to this, as long as sufficient tumor antigens are identified. For TAAs, this was achieved by peptide elution from tumor cells for the IMA901 vaccine for renal cell carcinoma [8] and the IMA950 vaccine for glioblastoma using as adjuvants GM-CSF [16] or poly-ICLC [17], and from *in vitro* predictions for other multi-peptide vaccines for pediatric glioma and multiple myeloma [11,18]. These studies showed immune responses against multiple peptides in several patients, encouraging further development of multiple TAA peptide vaccines. However, the

magnitude and/or therapeutic efficacy of these responses still need to be improved, as shown by the IMA901 phase III clinical trial that showed no improvement in overall survival [8]. For TSA, there have been major advances in genome mapping technologies to identify neoepitopes even in cancers from individual patients [19,20^{••},21], thus opening the way to personalized peptide vaccines [22[•]], which has yielded particularly encouraging results in a phase I trial for melanoma, in which up to 20 personalized long peptides were administered to patients [20^{••}]. Other studies in glioblastoma are following the same approach, such as the phase I GAPVAC trial and the phase I/Ib trial of a personalized neoantigen vaccine; both showing sustained CD8 and CD4 T cell responses [23[•],24[•]]. Although multi-peptide vaccines are the most direct way to broaden anti-tumor immunity and avoid immune escape, significant tumor cell killing can liberate additional tumor antigens, promote epitope spreading, and expand T cells of different specificities to that induced by the vaccine or other immunotherapy [25,26].

HLA binding and peptide length

Minimal peptide epitopes of 8–11 amino acids with appropriate binding motifs can associate with certain HLA class I (HLA-I) alleles without further processing, thereby forming ligands for CD8 T cells. Similarly, longer peptides of 13–18 amino acids can directly bind to HLA class II (HLA-II) alleles and stimulate CD4 T cells. However, the simplicity of administering peptide vaccines based on minimal peptide epitopes must be balanced with the risk that most injected peptides will exogenously bind to HLA-expressing cells that do not express costimulatory molecules and do not, therefore, efficiently stimulate T cells [27,28]. This is principally a problem for HLA-I, which is expressed by most nucleated cells of the body. The implications of this may even lead to tolerance induction rather than activation [29]. Synthetic long peptides are now routinely employed in many clinical trials; they are generally more than 20 amino acids long, require processing and so favor presentation by professional antigen presenting cells such as dendritic cells, ideally suited for T-cell priming. Judicious choice of long peptide sequences can select regions encompassing both HLA-I and HLA-II binding epitopes; moreover, binding motifs for multiple HLA alleles may be present, which can be further increased by using multiple long peptides in individual patients, as recently described in the previously mentioned phase I trial for melanoma [20^{••}]. Nevertheless, generation of HLA-I binding peptides requires processing of peptides that enter the cytosol, which may not occur efficiently for all peptides. Future trials may employ long peptides modified by the addition of a cell penetrating peptide sequence, shown to induce superior CD8 T-cell responses to long peptides alone in animal models [30,31]. Interestingly, although this approach promoted CD8 T-cell induction, this was not at the expense of CD4 T-cell immune responses, which are increasingly recognized as being an essential component of

anti-cancer immunity [32*,33]. Indeed, CD4 T cells, particularly Th1 cells, are not only important for efficient CD8 T-cell priming, recruitment at the tumor site and establishing memory, but they may also exert CD8-independent anti-tumor effect functions, justifying CD4-inducing approaches in peptide vaccination [22*,34,35].

Epitope prediction

Approaches to select peptide vaccine epitopes differ according to whether the epitope is a TSA derived from a mutated gene, or a non-mutated TAA. For the latter, it is essential to determine preferential expression of the protein by the tumor, and ideally (as for TSA) presentation of the peptide on tumor cell HLA molecules. This is most directly determined by elution of peptide bound to HLA from tumor cells, with subsequent detection and characterization by mass spectrometry [36–38]. For mutated epitopes, the development of faster and cheaper deep-sequencing techniques has revolutionized identification of putative neoepitopes [39], even at the single-cell level [40]. This can be followed by bioinformatics algorithms to predict peptide–HLA binding [41], which can be combined with peptide characterization [37,38,42]. Regardless of the sophistication of epitope prediction from TAA or TSA, it is also essential to prove T-cell recognition. Here, the original techniques of reverse immunology that opened the era of tumor immunotherapy have been brought up to date with 21st century technology. Culture of fastidious T-cell clones from cancer patients is no longer a bottleneck, with TCR transduction, or healthy donor T cells being used to validate epitope recognition [43,44]. Furthermore, the relationship between TCR sequences and epitope specificity is becoming progressively unraveled [45], opening future possibilities for combining *in silico* approaches with cellular immunology to determine whether predicted epitopes should be targeted by vaccines [21].

HLA-E-binding peptides as potential universal tumor epitopes

To date therapeutic cancer vaccines have mostly focused on antigenic peptides presented by classical HLA-I molecules. However, the existence of unconventional CD8 T-cell responses restricted by the non-classical HLA-I molecule HLA-E has recently emerged, offering the opportunity to identify alternative peptide targets in cancer patients [46*]. As for classical HLA-I, HLA-E is broadly expressed and assembles with β 2-microglobulin to present intracellular-derived peptides at the cell surface [47]. However, whereas classical HLA-I has thousands of allotypes, HLA-E shows little polymorphism, with only two alleles that differ outside the peptide-binding groove [48]. Thus, while the highly polymorphic classical HLA-I molecules imposes diverse peptide repertoires among patients, HLA-E–peptide complexes could provide universal antigenic targets. Furthermore, while classical HLA-I alleles are frequently down

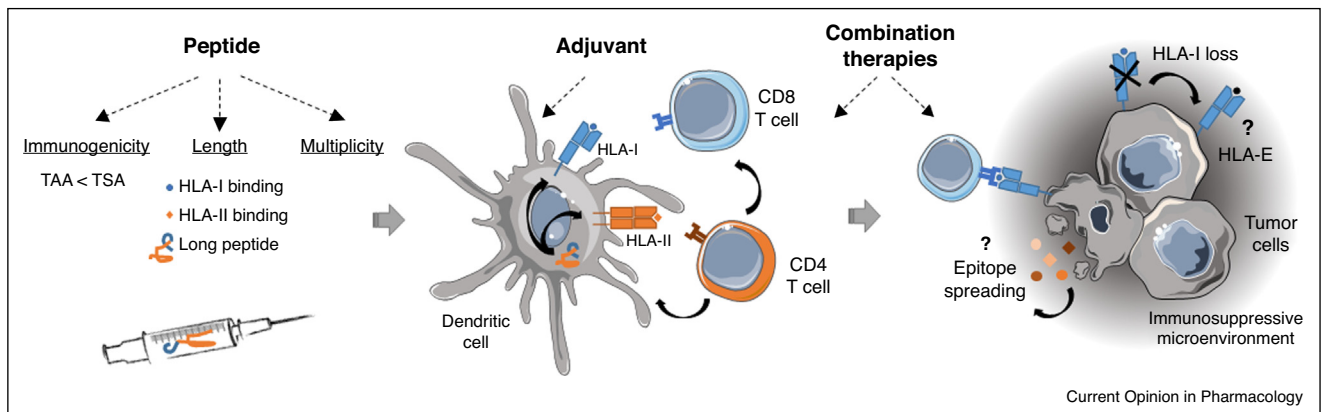
modulated in cancer cells, promoting immune escape from CD8 T cells [49*], HLA-E expression is retained in numerous hematopoietic and solid malignancies, and for certain of these, levels are correlated with prognosis and/or immune infiltration [50]. Hence, HLA-E binding peptides may represent attractive therapeutic targets, especially when classical HLA-I expression is lost. However, an HLA-E-restricted anti-tumor T-cell response remains unexplored.

The role of HLA-E is best characterized as an NK receptor ligand; a restricted peptide-set derived from the signal sequences of others HLA-I molecules is presented and protects healthy cells from NK cytotoxicity through interaction with the inhibitory CD94/NKG2A receptor. Nonetheless, during cellular stress, infection or malignant transformation, HLA-E can present a more diverse repertoire of peptides recognized by CD8 T cells and can contribute to immunity in various infections (reviewed in Ref. [51]). Indeed, HLA-E-restricted pathogen-specific CD8 T cells can display polyclonality, polyfunctionality, and long-term persistence, that is, features that would be appropriate for anti-tumor immunity. In mice, *in vivo* studies convincingly demonstrated immune surveillance of tumors with TAP [52,53*] or ERAPP [54] deficiencies by T cells restricted by the functional homolog of HLA-E, Qa-1 [49*]. Moreover, Qa-1 restricted CD8 T cells could be induced by peptide vaccination [52,53*]. In human *in vitro* studies using classical HLA-I negative cells, HLA-E was shown to bind a set of self-derived peptides related to heat shock responses [55,56] and defective antigen-processing [57]. Collectively, these data encourage future efforts to identify and address immunogenicity of the HLA-E–peptidome naturally presented in human tumors, and to test the feasibility of therapeutic vaccination. Finally, while HLA-E binding-peptide may represent potent therapeutic targets when expressed at the surface of malignant cells, their self-origin mandates vigilance; any on-target autoimmune side effects must be assessed.

Adjuvants and vaccine formulation

The formulation of a peptide vaccine and the choice of adjuvant are critical for vaccine efficacy, with no consensus concerning what is optimal for therapeutic vaccination in cancer. The primary role of the adjuvant in any vaccine is to ensure sufficient costimulation by the antigen presenting cells that prime T cells. There are additional requirements for a therapeutic peptide vaccine: facilitating cross-presentation of the vaccine peptides to stimulate CD8 T cells, protecting the peptides from too rapid degradation, and promoting effector T-cell homing to the tumor site. Current vaccines have mostly employed a restricted range of adjuvants, including Montanide ISA-51 (IFA), TLR agonists, and GM-CSF. Caution in clinical trials has generally resulted in the use of single

Figure 1



The figure is an original scheme using modified images from smart Servier Medical Art (using license Creative Commons Attribution 3.0 France <https://creativecommons.org/licenses/by/3.0/>).

adjuvants, but multiple adjuvants may ultimately be necessary, as recently discussed [58,59]. Future developments will also need to consider modulating the duration of antigen presentation [60], and minimizing the retention and inactivation of activated T cells in water-in-oil depots (Montanide, IFA) at the injection site [61,62*].

Synergistic combination therapies

High magnitude, highly functional, tumor-specific T cells induced by the most optimal peptide vaccine that can be envisaged still face a final formidable hurdle: the tumor microenvironment. Tumor cells, myeloid cells, regulatory T cells, an aberrant vasculature and physicochemical features of the tumor microenvironment such as hypoxia and lactate accumulation, all contribute to inhibit T-cell infiltration or function. Fortunately, the revolution in clinical cancer immunotherapy offers a multitude of opportunities for rational combinations with peptide vaccination, many of which are already under clinical trial [3*]. These can use peptide vaccination to sensitize to the immunomodulator (e.g. ICB), or use ICB antibodies to maintain the functionality of vaccine-induced T cells. Although the end result, clinical efficacy, might be the same, the underlying mechanism will influence the choice and sequence of administering the different therapies. Combinations are not only restricted to immunotherapy, but can include radiotherapy, targeted therapy, anti-angiogenic therapy and chemotherapy. Certain chemotherapeutic agents, when used in the right sequence, can promote anti-tumor immunity by eliciting immunogenic tumor cell death [63], and anti-angiogenic strategies can enhance T-cell infiltration [64].

Perspectives

The future for therapeutic peptide vaccines is encouraging, because we have tools to identify target antigens, adjuvants to potentially combine for enhanced

immunogenicity and a multitude of clinically relevant immunomodulators (Figure 1). A major challenge of this cornucopia of opportunities is how to rationally combine and test a multimodal cancer therapy in a clinical context. Tumor immunity requires investigation *in vivo*, which obligates uses of immunocompetent animals in preclinical testing, and yet the targeted antigens will be of human origin in the clinical vaccine. Despite advances in using humanized animals and more sophisticated *in vitro* cultures, these must be used in addition to biological and clinical information, with improved immunomonitoring from clinical trials. We should be inspired by the cancer immunotherapy revolution of ICB that was built on deciphering conserved immune mechanisms between mice and humans, to develop a next generation of potent peptide vaccines to incorporate into new multimodality treatments for cancer patients.

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Conflict of interest statement

P.R.W. and P.-Y.D. have ownership interest in patents related to cell penetrating peptides and are consultant/advisory board members for Amal Therapeutics.

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